

OSRAM is ...

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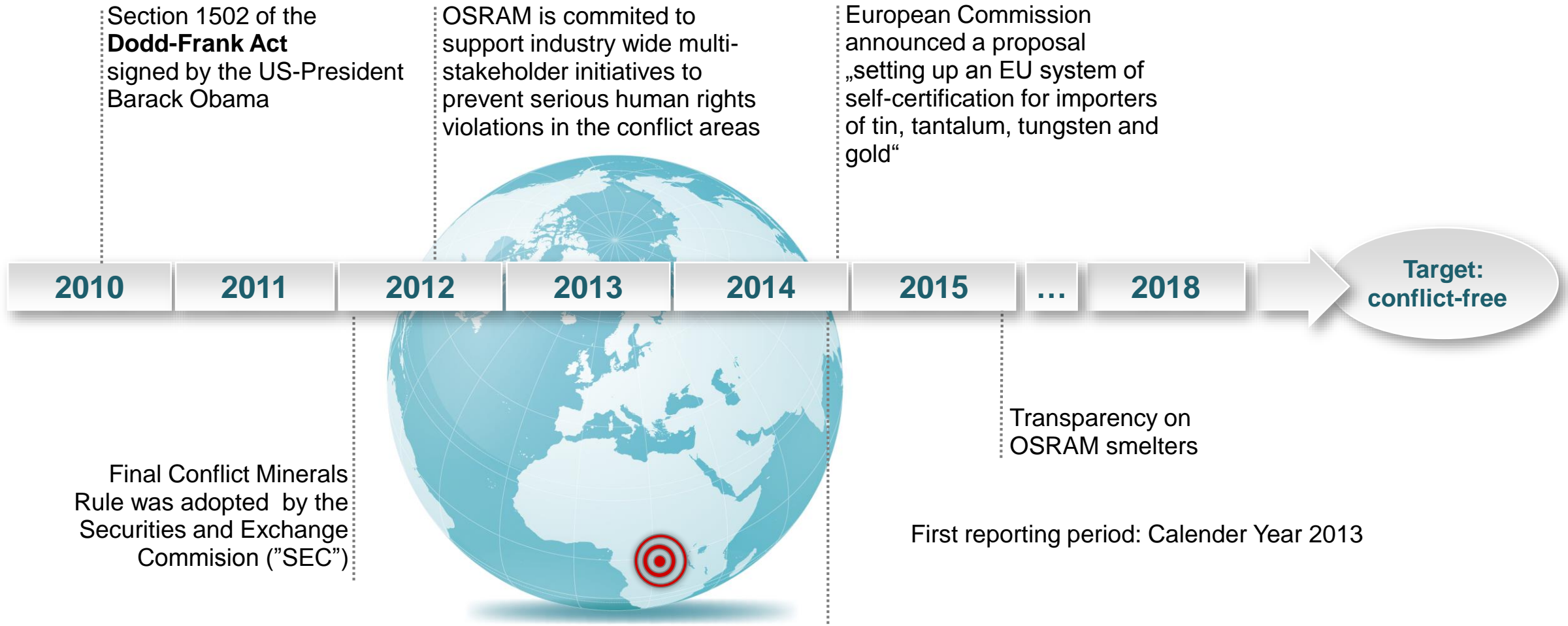
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Light for 111 Years

Conflict Minerals General Information

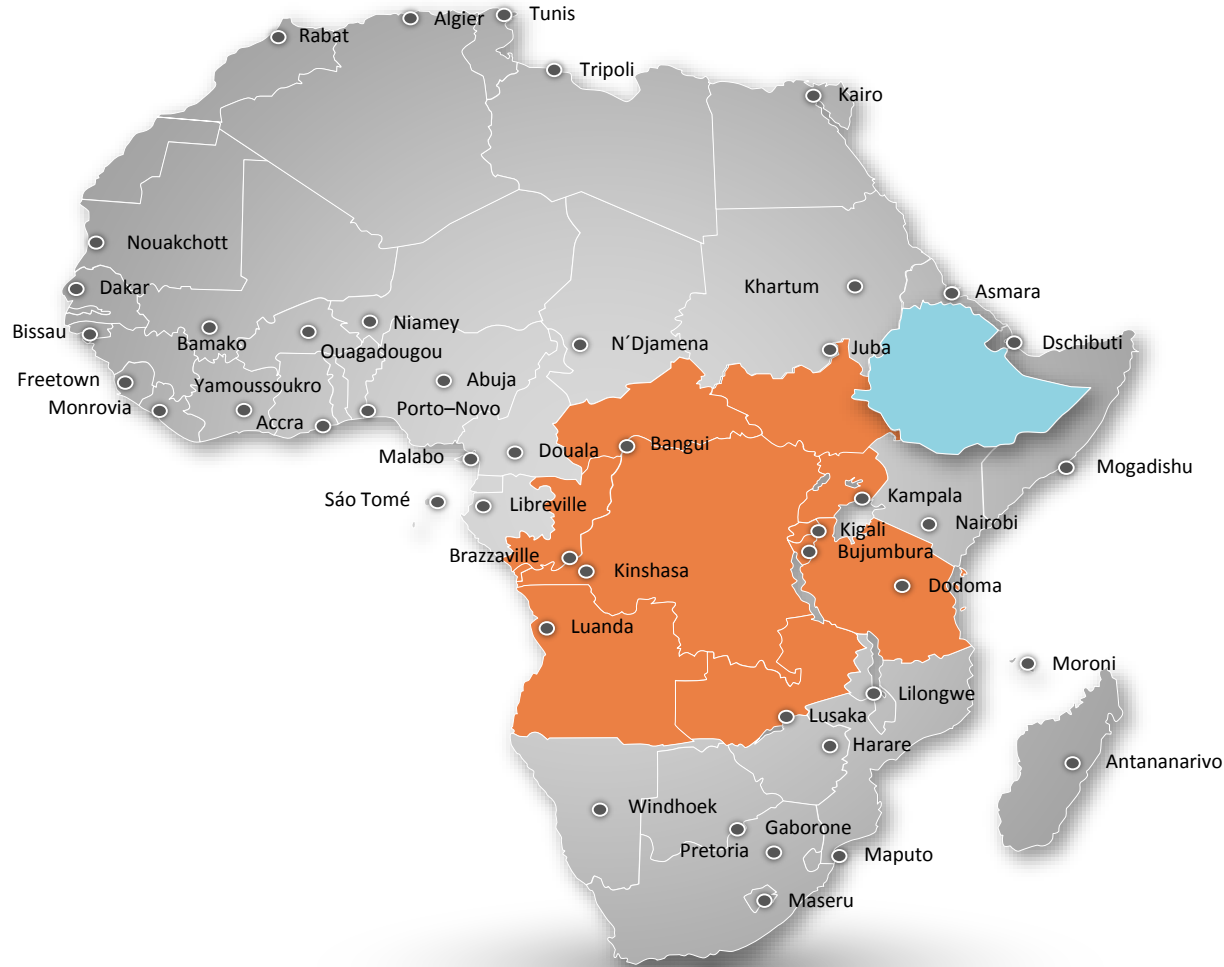
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Conflict Minerals – the long way towards a conflict-free supply chain



The illegal trade of the commonly as “3TG*” referred minerals finances violent conflicts and violations of basic humanitarian rights.



Dodd–Frank Section 1502 defines the affected countries or “**Covered Countries**” as follows:

- Democratic Republic of the Congo (DRC)
- Central Africa Republic
- South Sudan
- Zambia
- Angola
- The Republic of the Congo
- Tanzania
- Burundi
- Rwanda
- Uganda

The covered countries account for 15% to 20% of the world’s supply of tantalum and lower percentage for the other three minerals

Conflict Mineral is not about an embargo of these countries.



Responsible Mineral Initiative labels all smelters as conformant, which can prove they only source from mines, that do not support any armed forces and conduct their business on accepted good practices.

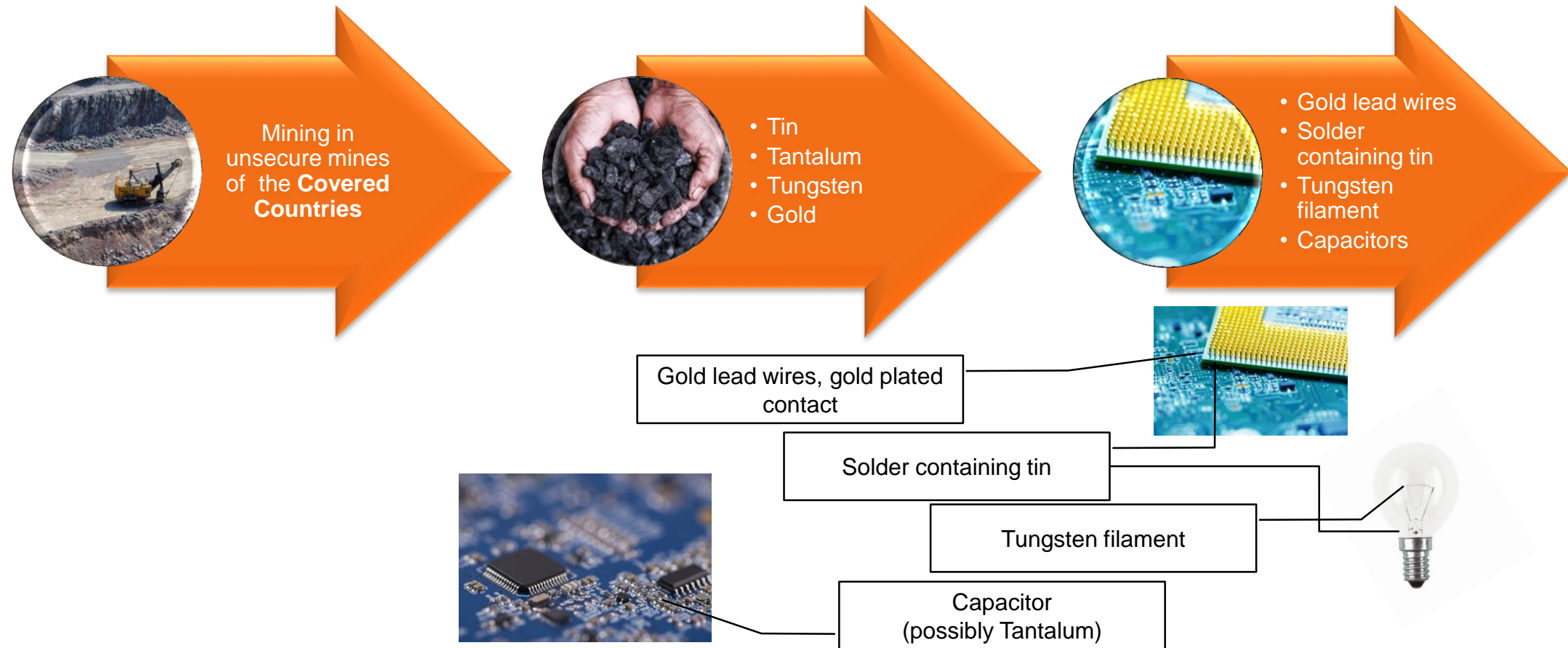
It is therefore accepted, that smelters source in the DRC and adjoining countries, **but:**
Only from “clean” mines.

In fact supporting those “clean” mines is an important aspect on the way to a stable and peaceful future in DRC and adjoining countries.

Conflict Minerals - an example

How does this impact OSRAM?

The Dodd-Frank-Act aims to stop the financing of the civil war in DRC and adjoining countries.



Creating an OSRAM CMRT requires alignment along the supply chain

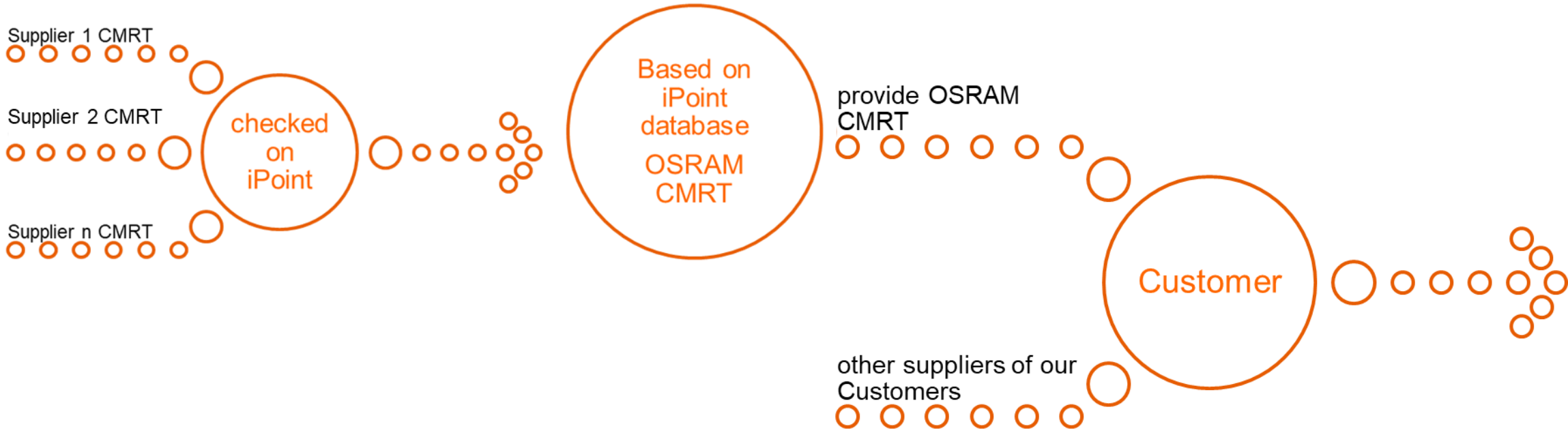
OSRAM has selected all categories in our procurement portfolio that could possibly contain one of the 3TGs. Suppliers in this categories, are asked to hand in a Conflict Mineral Reporting Template (CMRT). OSRAM uses the global standard template of the Responsible Mineral Initiative (RMI).

These CMRTs are checked in a special software (iPoint) if they only contain conformant or active smelters. In case other smelters are listed, OSRAM requests supplier to work on improving the supply chain.



Creating an OSRAM CMRT requires alignment along the supply chain

The summary of all supplier CMRTs is then used to create the OSRAM CMRT. This CMRT is forwarded to Customers to enable them to comply with applicable law (e.g. SEC).



Many Thanks.